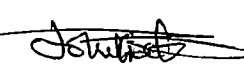


NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor META MATERIALS, INC	Case Number 24-50792	
1 Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor Referred to hereinafter as the 'Interest holder') JOHN W KROHN 1003 1003 North KNUDSON CT. Liberty LAKE, WA 99019	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest Attach copy of statement giving particulars <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors	
Telephone Number 425 213 2870		
NOTE This form SHOULD NOT be used to make a claim against the Debtor for money owed A separate Proof of Claim form should be used for that purpose This form should only be used to assert an Equity Interest in the Debtor An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein		COURT USE ONLY
Account or other number by which Interest holder identifies Debtor 1) 40626300 and 2) 488-238660-206	Check here if this claim <input type="checkbox"/> replaces a previously filed Proof of Interest dated _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated _____	
2 Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest JOHN W KROHN MORGAN STANLEY DOMESTIC HOLDINGS, INC / ETRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484	3 Date Equity Interest was acquired Between 01/07/21 through 06/29/22 SEE ATTACHED DOCUMENTATION	
4 Total amount of member interest 11,597 shares \$32,916.23 94 CALL OPTIONS \$17,340	5 Certificate number(s) SEE ATTACHED DOCUMENTATION	
6 Type of Equity Interest Please indicate the type of Equity Interest you hold <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest Description INVESTOR		
7 Supporting Documents Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc DO NOT SEND ORIGINAL DOCUMENTS If the documents are not available, explain If the documents are voluminous, attach a summary		
8 Date-Stamped Copy To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest		
9 Signature Check the appropriate box <input checked="" type="checkbox"/> I am the creditor <input type="checkbox"/> I am the creditor's authorized agent (Attach copy of power of attorney, if any) <input type="checkbox"/> I am the trustee or the debtor, or their authorized agent (See Bankruptcy Rule 3004) <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor (See Bankruptcy Rule 3005) I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief Print Name JOHN W KROHN Title _____ Company _____ Address and telephone number (if different from notice address above) _____ <div style="text-align: right; margin-top: 20px;">  (Signature) Telephone number 425 213 2870 email John.KROHN321@gmail.com </div> <div style="text-align: right; margin-top: 20px;"> 12/05/2024 (Date) </div>		

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both 18 U.S.C. §§ 152 AND 3571

**PROOF OF INTEREST SUPPLEMENTAL INFORMATION
CASE 24-50792, META MATERIALS, INC
FOR John W Krohn**

BROKER

Morgan Stanley Domestic Holdings, Inc
E-Trade Securities LLC
PO BOX 484
Jersey City, NJ 07303-0484

ACCOUNT NUMBER 40626300

TRANSACTIONS (Pre-Reverse Split)

- 1) 1,200 shares Date Acquired 01/07/2021 Total Invested \$2,496 00 (\$2 08/share)
- 2) 1,892 shares Date Acquired 01/25/2021 Total Invested \$7,002 25 (\$3 70/share)
- 3) 744 shares Date Acquired 01/28/2021 Total Invested \$2,254 32 (\$3 03/share)
- 4) 294 shares Date Acquired 01/28/2021 Total Invested \$889 39 (\$3 02/share)
- 5) 69 shares Date Acquired 01/28/2021 Total Invested \$220 80 (\$3 20/share)
- 6) 317 shares Date Acquired 06/11/2021 Total Invested \$1,930 40 (\$6 09/share)
- 7) 326 shares Date Acquired 06/11/2021 Total Invested \$1,981 43 (\$6 08/share)
- 8) 455 shares Date Acquired 06/17/2021 Total Invested \$4,621 34 (\$10 16/share)
- 9) 3500 shares Date Acquired 06/28/2022 Total Invested \$3,745 00 (\$1 07/share)
- 10) 1000 shares Date Acquired 06/29/2022 Total Invested \$1,048 30 (\$1 05/share)
- 11) 1,200 shares Sold 10/18/2021 Total Recovered \$5,921 83 (\$4 93/share)
- 12) 1,892 shares Sold 10/18/2021 Total Recovered \$9,339 21 (\$4 94/share)
- 13) 744 shares Sold 10/18/2021 Total Recovered \$3,671 53 (\$4 93/share)
- 14) 294 shares Sold 10/18/2021 Total Recovered \$1,453 31 (\$4 94/share)
- 15) 69 shares Sold 10/18/2021 Total Recovered \$340 51 (\$4 93/share)
- 16) 317 shares Sold 04/18/2022 Total Recovered \$452 40 (\$1 42/share)
- 17) 326 shares Sold 04/18/2022 Total Recovered \$464 51 (\$1 42/share)
- 18) 455 shares Sold 04/18/2022 Total Recovered \$648 31 (\$1 42/share)
- 19) 3500 shares Sold 11/04/2022 Total Recovered \$5,634 41 (\$1 61/share)
- 20) 1000 shares Sold 11/04/2022 Total Recovered \$1,609 83 (\$1 61/share)

TOTAL SHARES HELD PRE-REVERSE SPLIT 9,797

TOTAL MONIES INVESTED PRE-REVERSE SPLIT \$26,189 23

CALL option purchases that ALL expired worthless due to unexpected stock activity

- 1) MMAT1 33 call options Acquired 06/18/2021 \$9,086 96, ALL expiring worthless on 07/16/2021
- 2) MMAT2 8 call options Acquired 06/25/2021 \$1124 11, ALL expiring worthless on 07/16/2021
- 3) MMAT 26 call options Acquired 07/01/2021 \$2,483 36, ALL expiring worthless on 7/16/2021
- 4) MMAT 12 call options Acquired 06/30/2021 \$2,238 16, ALL expiring worthless on 08/20/2021
- 5) MMAT 15 call options Acquired 07/02/2021 \$2,407 71, ALL expiring worthless on 08/20/2021

TOTAL MONIES LOST ON ALL EXPIRING OPTIONS \$17,340 30

**** See Transaction documentation attached (Page 1 of 2)**

Continued

(Page 2 of 2)

PROOF OF INTEREST SUPPLEMENTAL INFORMATION

**CASE 24-50792, META MATERIALS, INC
FOR John W Krohn**

BROKER

Morgan Stanley Domestic Holdings, Inc
E-Trade Securities LLC
PO BOX 484
Jersey City, NJ 07303-0484

ACCOUNT NUMBER Self-Directed Retirement Account – Roth IRA – 488-238666-206

TRANSACTIONS

1) MMAT 1800 shares Date Acquired 01/25/2021 Total Invested \$6,727 (\$3 73/share)

**** The stock has since undergone a 1/100 reverse split causing my shares to show up as 18 units post-split Stock value is at a nearly 100% loss due to abnormal trading MMAT stock ticker has changed to MMATQ due to being in bankruptcy I have not sold these shares, still in my account, but at a near loss of \$6,727**

**** See Transaction documentation attached**

Consolidated 2021 Forms 1099 + Details

MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient s TIN	***-**-1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2021

CONSOLIDATED 2021 FORMS 1099 AND DETAILS

JOHN W KROHN
1003 NORTH KNUDSON CT
LIBERTY LAKE WA 99019-8537

YEAR-END MESSAGES

CERTAIN EVENTS SUCH AS COST BASIS ORIGINAL ISSUE DISCOUNT ADJUSTMENTS OR RECLASSIFICATIONS OF AN INCOME PAYMENT RECEIVED FROM A MUTUAL FUND ETF REIT OR RIC MAY RESULT IN AN AMENDED 1099 FOR PRIOR OR CURRENT TAX YEARS PLEASE VISIT ETRADE.COM/TAX TO ACCESS OUR UNDERSTANDING YOUR FORM 1099 GUIDE FOR MORE DETAILS AND FAQs

TABLE OF CONTENTS**Consolidated 1099 Sections**

1099-DIV / INT Summary Information	Page 3
1099-B Proceeds from Broker and Barter Exchange Transactions	Page 6

Details

1099-DIV Dividend & Distribution Details	Page 17
Investment Details	Page 18

Your Account did not receive the following Forms

1099-INT
1099-OID
1099-MISC

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MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient's TIN	***--1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2021

RECIPIENT'S Name, Street Address, City, State, and Zip Code

JOHN W KROHN
1003 NORTH KNUDSON CT
LIBERTY LAKE WA 99019-8537

PAYER'S TIN 20-8764829

PAYER'S Name, Street, City, State, Zip Code

MORGAN STANLEY DOMESTIC HOLDINGS INC
E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY NJ 07303-0484
Telephone Number 800 387 2331

☐ FATCA Filing Requirement**2021 CONSOLIDATED FORMS 1099**

2021 FORM 1099-DIV		OMB NO. 1545-0140
IRS Box	DIVIDENDS AND DISTRIBUTIONS	
1a	Total ordinary dividends (includes Boxes 1b 5 6)	\$18 00
1b	Qualified dividends	\$18 00
2a	Total capital gain distributions (includes Boxes 2b 2c 2d)	\$0 00
2b	Unrecaptured section 1250 gain	\$0 00
2c	Section 1202 gain	\$0 00
2d	Collectibles (28%) gain	\$0 00
2e	Section 897 ordinary dividends	\$0 00
2f	Section 897 capital gains	\$0 00
3	Nondividend distributions	\$0 00
4	Federal income tax withheld	\$0 00
5	Section 199A dividends	\$0 00
6	Investment expenses	\$0 00
7	Foreign tax paid	\$5 40
8	Foreign country or U S possession	BELGIUM
9	Cash liquidation distributions	\$0 00
10	Noncash liquidation distributions	\$0 00
11	Exempt-interest dividends (includes Box 12)	\$0 00
12	Specified private activity bond interest dividends (AMT)	\$0 00

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) KEEP FOR YOUR RECORDS

This is Important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-DIV (OMB NO 1545-0110)

Recipient's taxpayer identification number (TIN) For your protection this form may show only the last four digits of your TIN (SSN ITIN ATIN or EIN) However the issuer has reported your complete TIN to the IRS **FATCA filing requirement** If the FATCA filing requirement box is checked the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code You may also have a filing requirement See the Instructions for Form 8938 **Account number** May show an account or other unique number the payer assigned to distinguish your account **Box 1a** Shows total ordinary dividends that are taxable Include this amount on the Ordinary dividends line of Form 1040 or 1040-SR Also report it on Schedule B (Form 1040) if required **Box 1b** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP) Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution not as investment income for any other purpose **Box 2a** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT) See How To Report in the Instructions for Schedule D (Form 1040) But if no amount is shown in boxes 2b 2c 2d and 2f and your only capital gains and losses are capital gain distributions you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D See the Instructions for Forms 1040 and 1040-SR **Box 2b** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040) **Box 2c** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion See the Schedule D (Form 1040) instructions **Box 2d** Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles If required use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040) **Box 2e** Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U S real property interests (USRPI) **Box 2f** Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI **Note** Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries It is generally treated as effectively connected to a trade or business within the United States See the instructions for your tax return **Box 3** Shows a return of capital To the extent of your cost (or other basis) in the stock the distribution reduces your basis and is not taxable Any amount received in excess of your basis is taxable to you as capital gain See Pub 550 **Box 4** Shows backup withholding A payer must backup withhold on certain payments if you did not give your TIN to the payer See Form W-9 for information on backup withholding Include this amount on your income tax return as tax withheld **Box 5** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A See the instructions for Form 8995 and Form 8995-A **Box 6** Shows your share of expenses of a nonpublicly offered RIC generally a nonpublicly offered mutual fund This amount is included in box 1a **Box 7** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR See the Instructions for Forms 1040 and 1040-SR **Box 8** This box should be left blank if a RIC reported the foreign tax shown in box 7 **Boxes 9 and 10** Show cash and noncash liquidation distributions **Box 11** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year See the Instructions for Forms 1040 and 1040-SR for where to report This amount may be subject to backup withholding See Box 4 above **Box 12** Shows exempt-interest dividends subject to the alternative minimum tax This amount is included in box 11 See the Instructions for Form 6251 **Boxes 13-15** State income tax withheld reporting boxes **Nominees** If this form includes amounts belonging to another person you are considered a nominee recipient You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income and you must furnish a Form 1099-DIV to each A spouse is not required to file a nominee return to show amounts owned by the other spouse See the 2021 General Instructions for Certain Information Returns

Form 1099-INT (OMB NO 1545-0112)

The information provided may be different for covered and noncovered securities For a description of covered securities see the Instructions for Form 8949 For a taxable covered security acquired at a premium unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171 or for a tax-exempt covered security acquired at a premium your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s) or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s) If you did notify your payer that you did not want to amortize the premium on a taxable covered security then your payer will only report the gross amount of interest paid to you For a noncovered security acquired at a premium your payer is only required to report the gross amount of interest paid to you **Recipient's taxpayer identification number (TIN)** For your protection this form may show only the last four digits of your TIN (social security number (SSN) individual taxpayer identification number (ITIN) adoption taxpayer identification number (ATIN) or employer identification number (EIN)) However the issuer has reported your complete TIN to the IRS **FATCA filing requirement** If the FATCA filing requirement box is checked the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement You also may have a filing requirement See the Instructions for Form 8938 **Account number** May show an account or other unique number the payer assigned to distinguish your account **Box 1** Shows taxable interest paid to you during the calendar year by the payer This does not include interest shown in box 3 May also show the total amount of the credits from clean renewable energy bonds new clean renewable energy bonds qualified energy conservation bonds qualified zone academy bonds qualified school construction bonds and build America bonds that must be included in your interest income These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15 June 15 September 15 and December 15) For more information see Form 8912 See the instructions above for a taxable covered security acquired at a premium **Box 2** Shows interest or principal forfeited because of early withdrawal of time savings You may deduct this amount to figure your adjusted gross income on your income tax return See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction **Box 3** Shows interest on U S Savings Bonds Treasury bills Treasury bonds and Treasury notes This may or may not all be taxable See Pub 550 This interest is exempt from state and local income taxes This interest is not included in box 1 See the instructions above for a taxable covered security acquired at a premium **Box 4** Shows backup withholding Generally a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer See Form W-9 Include this amount on your income tax return as tax withheld **Box 5** Any amount shown is your share of investment expenses of a single class REMIC This amount is included in box 1 **Note** This amount is not deductible **Box 6** Shows foreign tax paid You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR See your tax return instructions **Box 7** Shows the country or U S possession to which the foreign tax was paid **Box 8** Shows tax-exempt interest paid to you during the calendar year by the payer See how to report this amount in the Instructions for Forms 1040 and 1040-SR This amount may be subject to backup withholding See Box 4 above See the instructions above for a tax-exempt covered security acquired at a premium **Box 9** Shows tax-exempt interest subject to the alternative minimum tax This amount is included in box 8 See the Instructions for Form 6251 See the instructions above for a tax-exempt covered security acquired at a premium **Box 10** For a taxable or tax-exempt covered security if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5) shows the market discount that accrued on the debt instrument during the year while held by you unless it was reported on Form 1099-OID For a taxable or tax-exempt covered security acquired on or after January 1 2015 accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b) Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR Market discount on a tax-exempt security is includible in taxable income as interest income **Box 11** For a taxable covered security (other than a U S Treasury obligation) shows the amount of premium amortization allocable to the interest payment(s) unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171 If an amount is reported in this box see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization the payer has reported a net amount of interest in box 1 If the amount in box 11 is greater than the amount of interest paid on the covered security see Regulations section 1.171-2(a)(4) **Box 12** For a U S Treasury obligation that is a covered security shows the amount of premium amortization allocable to the interest payment(s) unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171 If an amount is reported in this box see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U S Treasury obligation If an amount is not reported in this box for a U S Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization the payer has reported a net amount of interest in box 3 If the amount in box 12 is greater than the amount of interest paid on the U S Treasury obligation see Regulations section 1.171-2(a)(4) **Box 13** For a tax-exempt covered security shows the amount of premium amortization allocable to the interest payment(s) If an amount is reported in this box see Pub 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR If an amount is not reported in this box for a tax-exempt covered security acquired at a premium the payer has reported a net amount of interest in box 8 or 9 whichever is applicable If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security the excess is a nondeductible loss See Regulations section 1.171-2(a)(4)(ii) **Box 14** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid or tax credit bond(s) on which taxable interest was paid or tax credit was allowed to you during the calendar year If blank no CUSIP number was issued for the bond(s) **Boxes 15-17** State tax withheld reporting boxes **Nominees** If this form includes amounts belonging to another person(s) you are considered a nominee recipient Complete a Form 1099-INT for each of the other owners showing the income allocable to each File Copy A of the form with the IRS Furnish Copy B to each owner List yourself as the payer and the other owner(s) as the recipient File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area On Form 1096 list yourself as the filer A spouse is not required to file a nominee return to show amounts owned by the other spouse **Future developments** For the latest information about developments related to Form 1099-INT and its instructions such as legislation enacted after they were published go to www.irs.gov/Form1099INT **FreeFile** Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation e-filing and direct deposit or payment options

MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient s TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***--*-1494 ET1 12/31/2021
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FORM 1099-B TOTALS SUMMARY**REALIZED GAIN / LOSS SUMMARY**

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values The amounts shown below are for informational purposes only

SHORT-TERM GAINS OR (LOSSES) – REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$137,139.90	\$138,420.73	\$0.00	\$0.00	(\$1,280.83)
Box A – Ordinary – (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Term	\$137,139.90	\$138,420.73	\$0.00	\$0.00	(\$1,280.83)
LONG-TERM GAINS OR (LOSSES) – REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	\$0.00	\$5,514.59	\$0.00	\$0.00	(\$5,514.59)
Box D – Ordinary – (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Term	\$0.00	\$5,514.59	\$0.00	\$0.00	(\$5,514.59)
UNKNOWN TERM – CODE (X) REPORT ON FORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Unknown Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

REGULATED FUTURES CONTRACTS**AMOUNT**

Profit or (loss) realized in 2021 – closed contracts	\$0.00
Unrealized Profit or (loss) on open contracts 12/31/2020	\$0.00
Unrealized Profit or (loss) on open contracts 12/31/2021	(\$0.83)
Aggregate profit or (loss) on contracts	(\$0.83)

MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient's TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***--**--1494 ET1 12/31/2021
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RECIPIENT'S Name, Street Address, City, State, and Zip Code
JOHN W KROHN
1003 NORTH KNUDSON CT
LIBERTY LAKE WA 99019-8537

PAYER'S TIN 20-8764829
PAYER'S Name, Street, City, State, Zip Code
MORGAN STANLEY DOMESTIC HOLDINGS INC
E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY NJ 07303-0484
Telephone Number 800 387 2331

☐ **FATCA Filing Requirement**

2021 FORM 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6 Gross Proceeds

Box 5 Box Not Checked (Covered Security)

Box 12 Basis Reported to the IRS

Box 2 Type of Gain or Loss -Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AMERICAN BATTERY METALS CORPORATION COMMON STOCK CUSIP 02451V101	1 800 00000	11/30/2020	01/22/2021	\$5 898 70	\$448 88	\$0 00	\$0 00	\$5,449 82	
AMERITEK VENTURES COMMON STOCK CUSIP 03078H103	11 000 00000 15 000 00000 4 000 00000	01/04/2021 01/04/2021 01/04/2021	01/20/2021 01/20/2021 01/20/2021	\$1 825 19 \$3 188 18 \$670 55	\$1 255 81 \$1 712 48 \$456 66	\$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00	\$569 38 \$1,475 70 \$213 89	
Subtotals	30,000 00000			\$5,683 92	\$3,424 95	\$0 00	\$0 00	\$2,258 97	
ASIA BROADBAND INC CUSIP 04518L100	12,500 00000	02/24/2021	05/25/2021	\$2 793 54	\$3 391 44	\$0 00	\$0 00	(\$597 90)	
***AURINIA PHARMACEUTICALS I COM CUSIP 05156V102	45 00000 152 00000 88 00000	07/23/2020 07/23/2020 07/24/2020	01/06/2021 01/06/2021 01/06/2021	\$603 54 \$2 038 64 \$1 180 26	\$670 50 \$2 155 36 \$1 254 00	\$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00	(\$66 96) (\$116 72) (\$73 74)	

Details for CUSIP 05156V102 continue on the following page

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MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient s TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***--**--1494 ET1 12/31/2021
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2021 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO. 1545-0715**Covered Short-Term Gains or Losses on Gross Proceeds**
Report on Form 8949, Part I with Box A checked

Box 6 Gross Proceeds

Box 5 Box Not Checked (Covered Security)

Box 12 Basis Reported to the IRS

Box 2 Type of Gain or Loss -Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
Subtotals	285 00000			\$3,822 44	\$4,079 86	\$0 00	\$0 00	(\$257 42)	
BARREL ENERGY INC COMMON STOCK CUSIP 068428101	5 000 00000	01/29/2021	02/11/2021	\$4 244 35	\$541 90	\$0 00	\$0 00	\$3 702 45	
	8,000 00000	01/29/2021	03/08/2021	\$1 750 89	\$867 05	\$0 00	\$0 00	\$883 84	
Subtotals	13,000 00000			\$5,995 24	\$1,408 95	\$0 00	\$0 00	\$4,586 29	
***BIGG DIGITAL ASSETS INC COM CUSIP 089804108	3 000 00000	03/11/2021	06/17/2021	\$4 622 16	\$4 052 32	\$0 00	\$0 00	\$569 84	
	3 400 00000	03/11/2021	06/18/2021	\$5 060 62	\$4 592 63	\$0 00	\$0 00	\$467 99	
Subtotals	6,400 00000			\$9,682 78	\$8,644 95	\$0 00	\$0 00	\$1,037 83	
BUILDABLOCK CORP CUSIP 12008D108	30 000 00000	01/22/2021	01/28/2021	\$528 95	\$405 00	\$0 00	\$0 00	\$123 95	
	20 000 00000	01/22/2021	01/28/2021	\$352 63	\$255 95	\$0 00	\$0 00	\$96 68	
Subtotals	50,000 00000			\$881 58	\$660 95	\$0 00	\$0 00	\$220 63	
CBD DENVER INC COMMON STOCK CUSIP 12482B107	50 000 00000	12/17/2020	01/19/2021	\$751 53	\$745 00	\$0 00	\$0 00	\$6 53	
	12 500 00000	12/17/2020	01/19/2021	\$186 02	\$186 25	\$0 00	\$0 00	(\$0 23)	
	37 500 00000	12/17/2020	01/19/2021	\$558 06	\$561 83	\$0 00	\$0 00	(\$3 77)	
Subtotals	100,000 00000			\$1,495 61	\$1,493 08	\$0 00	\$0 00	\$2 53	
CTI BIOPHARMA CORP COM NEW CUSIP 12648L601	300 00000	12/01/2021	12/16/2021	\$640 09	\$486 00	\$0 00	\$0 00	\$154 09	
	250 00000	12/01/2021	12/17/2021	\$564 96	\$405 00	\$0 00	\$0 00	\$159 96	
Subtotals	550 00000			\$1,205 05	\$891 00	\$0 00	\$0 00	\$314 05	
***EXTREME VEHICLE BATTERY TECHNOLOGIES CORP CUSIP 30227V105	7 500 00000	09/07/2021	10/18/2021	\$1 254 85	\$1 617 45	\$0 00	\$0 00	(\$362 60)	
	1 280 00000	09/07/2021	10/18/2021	\$214 16	\$271 36	\$0 00	\$0 00	(\$57 20)	
	1 000 00000	09/07/2021	10/18/2021	\$167 32	\$213 90	\$0 00	\$0 00	(\$46 58)	

Details for CUSIP 30227V105 continue on the following page

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may be imposed on you if this income is taxable and the IRS determines that it has not been reported

MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient s TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***--1494 ET1 12/31/2021
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2021 FORM 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO 1545-0715**Covered Short-Term Gains or Losses on Gross Proceeds**Report on **Form 8949, Part I** with **Box A** checked**Box 6** Gross Proceeds**Box 5** Box Not Checked (Covered Security)**Box 12** Basis Reported to the IRS**Box 2** Type of Gain or Loss -Short-Term

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Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***EXTREME VEHICLE BATTERY TECHNOLOGIES CORP CUSIP 30227V105									
Subtotals	9,780 00000			\$1,636 33	\$2,102 71	\$0 00	\$0 00	(\$466 38)	
FISKER INC	100 00000	07/20/2020	03/10/2021	\$2 459 97	\$1 484 33	\$0 00	\$0 00	\$975 64	
CLASS A COMMON STOCK	50 00000	07/20/2020	03/11/2021	\$1 254 99	\$742 17	\$0 00	\$0 00	\$512 82	
CUSIP 33813J106	242 00000	09/21/2020	03/11/2021	\$6 074 13	\$4 031 72	\$0 00	\$0 00	\$2 042 41	
	54 00000	09/21/2020	03/11/2021	\$1 355 39	\$886 68	\$0 00	\$0 00	\$468 71	
Subtotals	446 00000			\$11,144 48	\$7,144 90	\$0 00	\$0 00	\$3,999 58	
FRIENDABLE INC	28 500 00000	12/23/2020	02/25/2021	\$761 15	\$1 045 20	\$0 00	\$0 00	(\$284 05)	
COMMON STOCK									
CUSIP 358452209									
ISW HOLDINGS INC	1,210 00000	01/06/2021	05/27/2021	\$432 68	\$394 45	\$0 00	\$0 00	\$38 23	
COMMON STOCK	3 790 00000	01/06/2021	05/27/2021	\$1 385 52	\$1 235 50	\$0 00	\$0 00	\$150 02	
CUSIP 45032U100	2 000 00000	01/06/2021	05/27/2021	\$715 17	\$522 75	\$0 00	\$0 00	\$192 42	
	1,201 00000	01/06/2021	06/11/2021	\$478 13	\$298 84	\$0 00	\$0 00	\$179 29	
	1,600 00000	01/06/2021	06/11/2021	\$636 98	\$418 20	\$0 00	\$0 00	\$218 78	
	4,799 00000	01/06/2021	06/11/2021	\$1 916 42	\$1 194 11	\$0 00	\$0 00	\$722 31	
Subtotals	14,600 00000			\$5,564 90	\$4,063 85	\$0 00	\$0 00	\$1,501 05	
META MATLS INC	1 200 00000	01/07/2021	10/18/2021	\$5 921 83	\$2 496 00	\$0 00	\$0 00	\$3 425 83	
COMMON STOCK	1 892 50000	01/25/2021	10/18/2021	\$9 339 21	\$7 002 25	\$0 00	\$0 00	\$2,336 96	
CUSIP 59134N104	744 00000	01/28/2021	10/18/2021	\$3 671 53	\$2 254 32	\$0 00	\$0 00	\$1,417 21	
	294 50000	01/28/2021	10/18/2021	\$1 453 31	\$889 39	\$0 00	\$0 00	\$563 92	
	69 00000	01/28/2021	10/18/2021	\$340 51	\$220 80	\$0 00	\$0 00	\$119 71	
Subtotals	4,200 00000			\$20,726 39	\$12,862 76	\$0 00	\$0 00	\$7,863 63	

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MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient's TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***-**-1494 ET1 12/31/2021
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2021 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO. 1545-0715**Covered Short-Term Gains or Losses on Gross Proceeds**
Report on Form 8949, Part I with Box A checked

Box 6 Gross Proceeds

Box 5 Box Not Checked (Covered Security)

Box 12 Basis Reported to the IRS

Box 2 Type of Gain or Loss -Short-Term

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Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MINERCO INC COM CUSIP 603171109	632 500 00000 37 500 00000	12/17/2020 12/17/2020	05/25/2021 05/25/2021	\$1 823 95 \$108 14	\$1 897 50 \$115 58	\$0 00 \$0 00	\$0 00 \$0 00	(\$73 55) (\$7 44)	
Subtotals	670,000 00000			\$1,932 09	\$2,013 08	\$0 00	\$0 00	(\$80 99)	
OPTEC INTERNATIONAL INC COMMON STOCK CUSIP 68386M102	15 000 00000 18 000 00000 3 000 00000 22 000 00000 15 000 00000 22 000 00000	12/28/2020 12/28/2020 12/30/2020 12/30/2020 12/30/2020 12/31/2020	02/24/2021 06/18/2021 06/18/2021 06/18/2021 06/18/2021 06/18/2021	\$3 563 24 \$1 172 82 \$194 94 \$1 433 45 \$972 75 \$1 429 57	\$1 607 25 \$1 928 70 \$273 97 \$2 009 12 \$1 369 86 \$1 430 55	\$0 00 \$0 00 \$0 00 \$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00 \$0 00 \$0 00 \$0 00	\$1 955 99 (\$755 88) (\$79 03) (\$575 67) (\$397 11) (\$0 98)	
Subtotals	95,000 00000			\$8,766 77	\$8,619 45	\$0 00	\$0 00	\$147 32	
SOLAR ENERGY INITIATIVES INC CUSIP 83416P207	479 301 00000 165 000 00000 95 888 00000 274,811 00000 300 000 00000 110 000 00000	01/19/2021 01/19/2021 01/19/2021 01/19/2021 01/22/2021 01/28/2021	05/27/2021 05/27/2021 05/27/2021 05/27/2021 05/27/2021 05/27/2021	\$1 624 66 \$559 29 \$343 88 \$950 93 \$1 016 89 \$372 86	\$2 591 02 \$1 027 95 \$518 35 \$1 485 58 \$2 629 95 \$873 95	\$0 00 \$0 00 \$0 00 \$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00 \$0 00 \$0 00 \$0 00	(\$966 36) (\$468 66) (\$174 47) (\$534 65) (\$1,613 06) (\$501 09)	
Subtotals	1,425,000 00000			\$4,868 51	\$9,126 80	\$0 00	\$0 00	(\$4,258 29)	
STARSTREAM ENTERTAINMENT INC COM CUSIP 85571U202	5 700 00000 1 950 00000 2 350 00000 23 500 00000 19 800 00000	01/20/2021 01/20/2021 01/20/2021 01/20/2021 01/20/2021	01/29/2021 01/29/2021 01/29/2021 05/27/2021 05/27/2021	\$822 98 \$281 54 \$339 30 \$934 51 \$787 38	\$702 21 \$253 50 \$316 33 \$2 531 20 \$2 439 24	\$0 00 \$0 00 \$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00 \$0 00 \$0 00	\$120 77 \$28 04 \$22 97 (\$1,596 69) (\$1 651 86)	
Subtotals	53,300 00000			\$3,165 71	\$6,242 48	\$0 00	\$0 00	(\$3,076 77)	
***TRINITY BIOTECH PLC ADR CUSIP 896438306	5 00000 445 00000 200 00000	06/23/2020 10/05/2020 10/05/2020	01/05/2021 01/05/2021 01/06/2021	\$20 10 \$1 788 81 \$839 96	\$12 73 \$898 90 \$404 00	\$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00	\$7 37 \$889 91 \$435 96	

Details for CUSIP 896438306 continue on the following page

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MORGAN STANLEY DOMESTIC HOLDINGS, INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient's TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***--1494 ET1 12/31/2021
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2021 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED
Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked
Box 6 Gross Proceeds **Box 5** Box Not Checked (Covered Security) **Box 12** Basis Reported to the IRS **Box 2** Type of Gain or Loss -Short-Term

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***TRINITY BIOTECH PLC ADR CUSIP 896438306	339 00000	10/05/2020	01/06/2021	\$1 481 35	\$684 78	\$0 00	\$0 00	\$796 57	
Subtotals	989 00000			\$4,130 22	\$2,000 41	\$0 00	\$0 00	\$2,129 81	
CALL TLT 06/18/21 142 CUSIP 8BVWPK9	5 00000	06/09/2021	06/11/2021	\$592 41	\$587 57	\$0 00	\$0 00	\$4 84	
CALL TLT 06/18/21 143 CUSIP 8BVWPL1	1 00000	06/09/2021	06/11/2021	\$70 48	\$79 51	\$0 00	\$0 00	(\$9 03)	
CALL TLT 06/18/21 145 CUSIP 8BVWPN0	8 00000	03/08/2021	06/11/2021	\$195 86	\$1 836 11	\$0 00	\$0 00	(\$1 640 25)	
CALL CVM 01/15/21 25 CUSIP 8C24277	2 00000	12/21/2020	01/15/2021	\$0 00	\$159 03	\$0 00	\$0 00	(\$159 03)	EXPIRATION
CALL CVM 01/15/21 35 CUSIP 8C43657	1 00000	12/23/2020	01/15/2021	\$0 00	\$55 51	\$0 00	\$0 00	(\$55 51)	EXPIRATION
CALL IWM 02/18/22 230 CUSIP 8G35314	2 00000	12/16/2021	12/21/2021	\$780 97	\$551 02	\$0 00	\$0 00	\$229 95	
PUT CVM 06/18/21 7 5 CUSIP 8GVWVGZ4	10 00000	05/27/2021	06/18/2021	\$0 00	\$195 14	\$0 00	\$0 00	(\$195 14)	EXPIRATION
CALL CLSN 04/16/21 2 5 CUSIP 8KBTRL1	50 00000	12/21/2020	01/28/2021	\$2 224 15	\$1 275 70	\$0 00	\$0 00	\$948 45	
CALL TRIB 02/19/21 2 5 CUSIP 8KGCRS9	5 00000	10/13/2020	01/05/2021	\$742 40	\$402 57	\$0 00	\$0 00	\$339 83	
PUT TLT 04/14/22 151 CUSIP 8KKGBS0	1 00000	12/03/2021	12/08/2021	\$729 48	\$528 51	\$0 00	\$0 00	\$200 97	
CALL VBIV 12/17/21 3 CUSIP 8KXWFP6	49 00000	11/23/2021	12/01/2021	\$1 444 93	\$1 004 96	\$0 00	\$0 00	\$439 97	

Details for CUSIP 8LCZTD6 continue on the following page

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MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient's TIN	***-**-1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2021

2021 FORM 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO. 1545-0715**Covered Short-Term Gains or Losses on Gross Proceeds**
Report on Form 8949, Part I with Box A checked

Box 6 Gross Proceeds **Box 5** Box Not Checked (Covered Security) **Box 12** Basis Reported to the IRS **Box 2** Type of Gain or Loss -Short-Term

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Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CALL VXRT 01/15/21 7 5 CUSIP 8LCZTD6	11 00000	12/03/2020	01/15/2021	\$0 00	\$2 205 65	\$0 00	\$0 00	(\$2 205 65)	EXPIRATION
CALL ICPT 01/15/21 185 CUSIP 8NFDWF8	4 00000	04/20/2020	01/15/2021	\$0 00	\$1 202 07	\$0 00	\$0 00	(\$1 202 07)	EXPIRATION
PUT CVM 01/15/21 5 CUSIP 8NSVXQ6	3 00000 7 00000	12/23/2020 12/23/2020	01/15/2021 01/15/2021	\$0 00 \$0 00	\$121 54 \$283 60	\$0 00 \$0 00	\$0 00 \$0 00	(\$121 54) (\$283 60)	EXPIRATION EXPIRATION
Subtotals	10 00000			\$0 00	\$405 14	\$0 00	\$0 00	(\$405 14)	
CALL CVM 01/15/21 15 CUSIP 8NSVXR3	5 00000	10/21/2020	01/15/2021	\$0 00	\$1 702 57	\$0 00	\$0 00	(\$1,702 57)	EXPIRATION
CALL TECK 01/21/22 35 CUSIP 8NTCCL1	15 00000	05/12/2021	10/18/2021	\$1 642 30	\$1 807 71	\$0 00	\$0 00	(\$165 41)	
CALL MMAT1 07/16/21 5 CUSIP 8NYDLP1	7 00000 15 00000 11 00000	06/18/2021 06/18/2021 06/18/2021	07/16/2021 07/16/2021 07/16/2021	\$0 00 \$0 00 \$0 00	\$1 578 60 \$4 807 71 \$2 700 65	\$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00	(\$1,578 60) (\$4 807 71) (\$2,700 65)	EXPIRATION EXPIRATION EXPIRATION
Subtotals	33 00000			\$0 00	\$9,086 96	\$0 00	\$0 00	(\$9,086 96)	
CALL MMAT2 07/16/21 5 CUSIP 8NYDMS8	8 00000	06/25/2021	07/16/2021	\$0 00	\$1 124 11	\$0 00	\$0 00	(\$1 124 11)	EXPIRATION
CALL MMAT 07/16/21 8 CUSIP 8NYNDR3	26 00000	07/01/2021	07/16/2021	\$0 00	\$2 483 36	\$0 00	\$0 00	(\$2 483 36)	EXPIRATION
CALL MMAT 08/20/21 7 CUSIP 8NYNDX7	6 00000 6 00000	06/30/2021 06/30/2021	08/20/2021 08/20/2021	\$0 00 \$0 00	\$1 143 08 \$1,095 08	\$0 00 \$0 00	\$0 00 \$0 00	(\$1,143 08) (\$1 095 08)	EXPIRATION EXPIRATION
Subtotals	12 00000			\$0 00	\$2,238 16	\$0 00	\$0 00	(\$2,238 16)	
CALL MMAT 08/20/21 8 CUSIP 8NYNDX9	15 00000	07/02/2021	08/20/2021	\$0 00	\$2,407 71	\$0 00	\$0 00	(\$2 407 71)	EXPIRATION

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MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient's TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***--**--1494 ET1 12/31/2021
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2021 FORM 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO. 1545-0715**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

Box 6 Gross Proceeds

Box 5 Box Not Checked (Covered Security)

Box 12 Basis Reported to the IRS

Box 2 Type of Gain or Loss -Short-Term

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Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CALL AUPH 02/19/21 15 CUSIP 8PHTPG6	9 00000	01/06/2021	01/25/2021	\$4 387 25	\$2 119 63	\$0 00	\$0 00	\$2 267 62	
CALL CVM 02/19/21 20 CUSIP 8PHZSM6	1 00000 2 00000 2 00000	12/23/2020 12/23/2020 12/23/2020	01/27/2021 01/28/2021 02/19/2021	\$899 47 \$898 95 \$148 96	\$225 51 \$451 03 \$451 03	\$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00	\$673 96 \$447 92 (\$302 07)	
Subtotals	5 00000			\$1,947 38	\$1,127 57	\$0 00	\$0 00	\$819 81	
CALL SPY 12/31/21 470 CUSIP 8PLTGL2	3 00000	12/17/2021	12/22/2021	\$697 45	\$541 53	\$0 00	\$0 00	\$155 92	
CALL CVM 03/19/21 60 CUSIP 8PMDNM8	3 00000	02/19/2021	03/19/2021	\$0 00	\$166 54	\$0 00	\$0 00	(\$166 54)	EXPIRATION
CALL CVM 07/16/21 60 CUSIP 8PMDNQ2	9 00000	04/22/2021	07/16/2021	\$0 00	\$2 884 62	\$0 00	\$0 00	(\$2,884 62)	EXPIRATION
PUT CVM 05/21/21 10 CUSIP 8PRKYM9	10 00000	04/22/2021	05/21/2021	\$0 00	\$705 14	\$0 00	\$0 00	(\$705 14)	EXPIRATION
CALL CVM 05/21/21 35 CUSIP 8PRKYP4	1 00000	04/22/2021	05/21/2021	\$0 00	\$205 51	\$0 00	\$0 00	(\$205 51)	EXPIRATION
CALL FSR 12/31/21 16 5 CUSIP 8SHYNW1	10 00000	12/28/2021	12/30/2021	\$124 88	\$225 09	\$0 00	\$0 00	(\$100 21)	
***VBI VACCINES INC CDA COM CUSIP 91822J103	304 00000 245 00000 213 00000 700 00000	04/21/2020 05/19/2020 05/22/2020 11/12/2020	01/19/2021 01/19/2021 01/19/2021 01/19/2021	\$976 03 \$786 60 \$683 86 \$2 247 42	\$465 12 \$607 58 \$509 07 \$1 811 18	\$0 00 \$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00 \$0 00	\$510 91 \$179 02 \$174 79 \$436 24	
Subtotals	1,462 00000			\$4,693 91	\$3,392 95	\$0 00	\$0 00	\$1,300 96	
***EURONAV NV CUSIP B38564108	600 00000	06/29/2020	03/05/2021	\$5 262 92	\$4 842 00	\$0 00	\$0 00	\$420 92	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) KEEP FOR YOUR RECORDS
This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient s TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***_**-1494 ET1 12/31/2021
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2021 FORM 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO. 1545-0715
Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6 Gross Proceeds

Box 5 Box Not Checked (Covered Security)

Box 12 Basis Reported to the IRS

Box 2 Type of Gain or Loss -Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***PERIDOT ACQUISITION CORP CLASS A ORDINARY SHARES CUSIP G7008A115	100 00000	05/28/2021	06/30/2021	\$1 175 23	\$1 006 50	\$0 00	\$0 00	\$168 73	
	100 00000	05/28/2021	06/30/2021	\$1 175 48	\$1 006 50	\$0 00	\$0 00	\$168 98	
	200 00000	05/28/2021	07/01/2021	\$2 383 96	\$2 013 00	\$0 00	\$0 00	\$370 96	
	200 00000	05/28/2021	07/02/2021	\$2 451 96	\$2 013 00	\$0 00	\$0 00	\$438 96	
Subtotals	600 00000			\$7,186 63	\$6,039 00	\$0 00	\$0 00	\$1,147 63	
***CARNEGIE CLEAN ENERGY LTD ORDINARY FULLY PAID CUSIP Q2101U109	193 000 00000	02/25/2021	05/25/2021	\$432 99	\$912 05	\$0 00	\$0 00	(\$479 06)	
***LAKE RESOURCES NL ORDINARY SHARES CUSIP Q5431K105	16 250 00000	02/11/2021	04/20/2021	\$3 828 10	\$4 254 33	\$0 00	\$0 00	(\$426 23)	
107 ITEMS - TOTAL				\$137,139 90	\$138,420 73	\$0 00	\$0 00	(\$1,280 83)	

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 may be imposed on you if this income is taxable and the IRS determines that it has not been reported

MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient's TIN	***-**-1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2021

2021 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO. 1545-0715**Covered Long-Term Gains or Losses on Gross Proceeds**Report on **Form 8949, Part II** with **Box D** checked**Box 6** Gross Proceeds**Box 5** Box Not Checked (Covered Security)**Box 12** Basis Reported to the IRS**Box 2** Type of Gain or Loss --Long-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CALL AMRN 01/15/21 30 CUSIP 8NDRBR2	10 00000	11/15/2018	01/15/2021	\$0 00	\$5 514 59	\$0 00	\$0 00	(\$5,514 59)	EXPIRATION
1 ITEMS - TOTAL				\$0 00	\$5,514 59	\$0 00	\$0 00	(\$5,514 59)	

FOOTNOTES**SHORT SALE** -- Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) KEEP FOR YOUR RECORDS

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MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient s TIN	***--**--1494
JERSEY CITY, NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2021

2021 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO. 1545-0715**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts**

"Mark-to-Market" reporting for contracts defined in Internal Revenue Code (IRC) sections 1256(b) and (g). The treatment of any gain or loss is explained in IRS Publication 550 and IRC section 1256(a)(3).

Description of property CUSIP (Box 1a)	Profit or (Loss) Realized in 2021 on Closed Contracts (Box 8)	Unrealized Profit or (Loss) on Open Contracts 12/31/2020 (Box 9)	Unrealized Profit or (Loss) on Open Contracts 12/31/2021 (Box 10)	Aggregate Profit or (Loss) on Contracts (Box 11)	Additional Information
CALL VIX 03/15/22 19	-	-	(\$0 83)	(\$0 83)	
1 ITEMS - TOTAL			(\$0 83)	(\$0 83)	

END OF 2021 FORM 1099-B

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) KEEP FOR YOUR RECORDS
 This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-B (OMB NO 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN) For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949 Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040) and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, Section 1256 option or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2 The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3 If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4 Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5 If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6 If the exercise of a noncompensatory option resulted in a sale of a security, a checked net proceeds box indicates whether the amount in box 1d was adjusted for option premium.

Box 7 If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Box 12 If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the Ordinary box in box 2 is checked, an adjustment may be required.

Box 13 Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14-16 Show state(s)/local income tax information.

Future developments For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

FreeFile Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Form 1099-B (OMB NO 1545-0715)**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**

Box 8 Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Box 9 Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2020.

Box 10 Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2021 in 2022.

Box 11 Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient s TIN	***-**-1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2021

DETAILS OF 2021 FORM 1099-DIV

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
03/05/21	***EURONAV NV	B38564108	QUALIFIED DIVIDEND	\$18 00	\$5 40	-	Box 8 BELGIUM
Total Qualified Dividends (Box 1b included in Box 1a)				\$18 00			
Total Ordinary Dividends (Box 1a)				\$18 00			
Total Foreign Tax Paid (Box 7)					\$5 40		

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E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient s TIN	***--**--1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
ORIGINAL		12/31/2021

2021 INVESTMENT DETAILS

DETAILS OF 2021 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
01/05/21	AMERICAN BATTERY METALS CORPORATION COM	02451V101	PURCHASE	2 100 000	\$0 76	\$1 600 95	
01/05/21	AMERICAN BATTERY METALS CORPORATION COM	02451V101	PURCHASE	3 050 000	\$0 83	\$2 524 25	
01/22/21	AMERICAN BATTERY METALS CORPORATION COM	02451V101	SELL	1 800 000	\$3 28	\$5 898 70	
01/04/21	AMERITEK VENTURES COMMON STOCK	03078H103	PURCHASE	30 000 000	\$0 11	\$3 424 95	
01/20/21	AMERITEK VENTURES COMMON STOCK	03078H103	SELL	4 000 000	\$0 17	\$670 55	
01/20/21	AMERITEK VENTURES COMMON STOCK	03078H103	SELL	11 000 000	\$0 17	\$1 825 19	
01/20/21	AMERITEK VENTURES COMMON STOCK	03078H103	SELL	15 000 000	\$0 21	\$3,188 18	
02/24/21	ASIA BROADBAND INC	04518L100	PURCHASE	12 500 000	\$0 27	\$3 417 45	
05/25/21	ASIA BROADBAND INC	04518L100	SELL	12 500 000	\$0 22	\$2 793 54	
01/06/21	***AURINIA PHARMACEUTICALS INC COM	05156V102	SELL	285 000	\$13 41	\$3 822 44	
01/29/21	BARREL ENERGY INC COMMON STOCK	068428101	PURCHASE	13,000 000	\$0 11	\$1 408 95	
02/11/21	BARREL ENERGY INC COMMON STOCK	068428101	SELL	5 000 000	\$0 85	\$4 244 35	
03/08/21	BARREL ENERGY INC COMMON STOCK	068428101	SELL	8 000 000	\$0 22	\$1 750 89	
03/11/21	***BIGG DIGITAL ASSETS INC COM	089804108	PURCHASE	6 400 000	\$1 35	\$8 644 95	
06/17/21	***BIGG DIGITAL ASSETS INC COM	089804108	SELL	3 000 000	\$1 54	\$4 622 16	
06/18/21	***BIGG DIGITAL ASSETS INC COM	089804108	SELL	3 400 000	\$1 49	\$5 060 62	
01/22/21	BUILDABLOCK CORP	12008D108	PURCHASE	20 000 000	\$0 01	\$255 95	
01/22/21	BUILDABLOCK CORP	12008D108	PURCHASE	30 000 000	\$0 01	\$405 00	
01/28/21	BUILDABLOCK CORP	12008D108	SELL	50 000 000	\$0 02	\$881 58	
01/19/21	CBD DENVER INC COMMON STOCK	12482B107	SELL	50 000 000	\$0 02	\$744 08	
01/19/21	CBD DENVER INC COMMON STOCK	12482B107	SELL	50 000 000	\$0 02	\$751 53	
12/01/21	CTI BIOPHARMA CORP COM NEW	12648L601	PURCHASE	550 000	\$1 62	\$891 00	
12/16/21	CTI BIOPHARMA CORP COM NEW	12648L601	SELL	300 000	\$2 13	\$640 09	
12/17/21	CTI BIOPHARMA CORP COM NEW	12648L601	SELL	250 000	\$2 26	\$564 96	
09/07/21	***EXTREME VEHICLE BATTERY TECHNOLOGIES	30227V105	PURCHASE	1 000 000	\$0 21	\$213 90	
09/07/21	***EXTREME VEHICLE BATTERY TECHNOLOGIES	30227V105	PURCHASE	1,280 000	\$0 21	\$271 36	
09/07/21	***EXTREME VEHICLE BATTERY TECHNOLOGIES	30227V105	PURCHASE	7 500 000	\$0 21	\$1 617 45	
10/18/21	***EXTREME VEHICLE BATTERY TECHNOLOGIES	30227V105	SELL	9,780 000	\$0 17	\$1 636 33	
03/10/21	FISKER INC CLASS A COMMON STOCK	33813J106	SELL	100 000	\$24 60	\$2 459 97	
03/11/21	FISKER INC CLASS A COMMON STOCK	33813J106	SELL	346 000	\$25 10	\$8 684 51	
02/25/21	FRIENDABLE INC COMMON STOCK	358452209	SELL	28 500 000	\$0 03	\$761 15	
01/06/21	ISW HOLDINGS INC COMMON STOCK	45032U100	PURCHASE	3 600 000	\$0 26	\$940 95	
01/06/21	ISW HOLDINGS INC COMMON STOCK	45032U100	PURCHASE	5 000 000	\$0 33	\$1 629 95	
01/06/21	ISW HOLDINGS INC COMMON STOCK	45032U100	PURCHASE	6 000 000	\$0 25	\$1 492 95	

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MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient s TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***-**-1494 ET1 12/31/2021
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DETAILS OF 2021 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
05/27/21	ISW HOLDINGS INC COMMON STOCK	45032U100	SELL	3 210 000	\$0 36	\$1 147 85	
05/27/21	ISW HOLDINGS INC COMMON STOCK	45032U100	SELL	3 790 000	\$0 37	\$1 385 52	
06/11/21	ISW HOLDINGS INC COMMON STOCK	45032U100	SELL	2 801 000	\$0 40	\$1 115 11	
06/11/21	ISW HOLDINGS INC COMMON STOCK	45032U100	SELL	4 799 000	\$0 40	\$1 916 42	
10/18/21	META MATLS INC COMMON STOCK	59134N104	SELL	4 200 000	\$4 94	\$20 726 39	
05/25/21	MINERCO INC COM	603171109	SELL	670 000 000	\$0 00	\$1 932 09	
02/24/21	OPTEC INTERNATIONAL INC COMMON STOCK	68386M102	SELL	15 000 000	\$0 24	\$3 563 24	
06/18/21	OPTEC INTERNATIONAL INC COMMON STOCK	68386M102	SELL	15 000 000	\$0 07	\$972 75	
06/18/21	OPTEC INTERNATIONAL INC COMMON STOCK	68386M102	SELL	25 000 000	\$0 07	\$1,624 51	
06/18/21	OPTEC INTERNATIONAL INC COMMON STOCK	68386M102	SELL	40 000 000	\$0 07	\$2 606 27	
05/25/21	SKYE BIOSCIENCE INC COMMON STOCK	83086J101	PURCHASE	44 600 000	\$0 12	\$5,223 15	
05/27/21	SKYE BIOSCIENCE INC COMMON STOCK	83086J101	PURCHASE	20 000 000	\$0 11	\$2 204 95	
01/19/21	SOLAR ENERGY INITIATIVES INC	83416P207	PURCHASE	165 000 000	\$0 01	\$1,027 95	
01/19/21	SOLAR ENERGY INITIATIVES INC	83416P207	PURCHASE	850 000 000	\$0 01	\$4 594 95	
01/22/21	SOLAR ENERGY INITIATIVES INC	83416P207	PURCHASE	300,000 000	\$0 01	\$2 629 95	
01/28/21	SOLAR ENERGY INITIATIVES INC	83416P207	PURCHASE	110 000 000	\$0 01	\$873 95	
05/27/21	SOLAR ENERGY INITIATIVES INC	83416P207	SELL	95 888 000	\$0 00	\$343 88	
05/27/21	SOLAR ENERGY INITIATIVES INC	83416P207	SELL	274 811 000	\$0 00	\$950 93	
05/27/21	SOLAR ENERGY INITIATIVES INC	83416P207	SELL	1 054 301 000	\$0 00	\$3 573 70	
01/20/21	STARSTREAM ENTERTAINMENT INC COM	85571U202	PURCHASE	1 950 000	\$0 13	\$253 50	
01/20/21	STARSTREAM ENTERTAINMENT INC COM	85571U202	PURCHASE	2 350 000	\$0 13	\$316 33	
01/20/21	STARSTREAM ENTERTAINMENT INC COM	85571U202	PURCHASE	23,500 000	\$0 11	\$2 531 20	
01/20/21	STARSTREAM ENTERTAINMENT INC COM	85571U202	PURCHASE	25 500 000	\$0 12	\$3 141 45	
01/29/21	STARSTREAM ENTERTAINMENT INC COM	85571U202	SELL	10 000 000	\$0 15	\$1 443 82	
05/27/21	STARSTREAM ENTERTAINMENT INC COM	85571U202	SELL	43,300 000	\$0 04	\$1 721 89	
01/07/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	2 400 000	\$1 04	\$2 496 00	
01/25/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	3 785 000	\$1 85	\$7,002 25	
01/28/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	561 000	\$1 60	\$897 60	
01/28/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	589 000	\$1 51	\$889 39	
01/28/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	1 488 000	\$1 52	\$2 254 32	
03/05/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	2 570 000	\$2 02	\$5 191 14	
03/10/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	1 090 000	\$2 25	\$2 457 95	
06/11/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	635 000	\$3 04	\$1 930 40	
06/11/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	652 000	\$3 04	\$1 981 43	
06/17/21	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	910 000	\$5 08	\$4 621 34	
01/05/21	***TRINITY BIOTECH PLC ADR	896438306	SELL	450 000	\$4 02	\$1 808 91	

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DETAILS OF 2021 INVESTMENT ACTIVITY: CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
01/06/21	***TRINITY BIOTECH PLC ADR	896438306	SELL	200 000	\$4 20	\$839 96	
01/06/21	***TRINITY BIOTECH PLC ADR	896438306	SELL	339 000	\$4 37	\$1 481 35	
01/19/21	***VBI VACCINES INC CDA COM	91822J103	SELL	1 462 000	\$3 21	\$4 693 91	
03/05/21	***EURONAV NV	B38564108	SELL	600 000	\$8 77	\$5 262 92	
05/28/21	***PERIDOT ACQUISITION CORP CLASS A ORD	G7008A115	PURCHASE	600 000	\$10 07	\$6,039 00	
06/30/21	***PERIDOT ACQUISITION CORP CLASS A ORD	G7008A115	SELL	100 000	\$11 76	\$1,175 48	
06/30/21	***PERIDOT ACQUISITION CORP CLASS A ORD	G7008A115	SELL	100 000	\$11 75	\$1 175 23	
07/01/21	***PERIDOT ACQUISITION CORP CLASS A ORD	G7008A115	SELL	200 000	\$11 92	\$2 383 96	
07/02/21	***PERIDOT ACQUISITION CORP CLASS A ORD	G7008A115	SELL	200 000	\$12 26	\$2 451 96	
02/25/21	***CARNEGIE CLEAN ENERGY LTD ORDINARY F	Q2101U109	PURCHASE	193 000 000	\$0 00	\$912 05	
05/25/21	***CARNEGIE CLEAN ENERGY LTD ORDINARY F	Q2101U109	SELL	193 000 000	\$0 00	\$432 99	
02/11/21	***LAKE RESOURCES NL ORDINARY SHARES	Q5431K105	PURCHASE	16 250 000	\$0 26	\$4 254 33	
04/20/21	***LAKE RESOURCES NL ORDINARY SHARES	Q5431K105	SELL	16 250 000	\$0 24	\$3 828 10	

DETAILS OF 2021 OPTIONS ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
12/30/21	CALL VIX	03/15/22 19 CBOE VOLATIL	8BQZRS7 PURCHASE	1 000	\$5 60	\$560 83	
06/09/21	CALL TLT	06/18/21 142 ISHS 20 PLUS	8BVWPK9 PURCHASE	5 000	\$1 17	\$587 57	
06/11/21	CALL TLT	06/18/21 142 ISHS 20 PLUS	8BVWPK9 SELL	5 000	\$1 19	\$592 41	
06/09/21	CALL TLT	06/18/21 143 ISHS 20 PLUS	8BVWPL1 PURCHASE	1 000	\$0 79	\$79 51	
06/11/21	CALL TLT	06/18/21 143 ISHS 20 PLUS	8BVWPL1 SELL	1 000	\$0 71	\$70 48	
03/08/21	CALL TLT	06/18/21 145 ISHS 20 PLUS	8BVWPN0 PURCHASE	8 000	\$2 29	\$1 836 11	
06/11/21	CALL TLT	06/18/21 145 ISHS 20 PLUS	8BVWPN0 SELL	8 000	\$0 25	\$195 86	
12/16/21	CALL IWM	02/18/22 230 ISHARES RUSS	8G35314 PURCHASE	2 000	\$2 75	\$551 02	
12/21/21	CALL IWM	02/18/22 230 ISHARES RUSS	8G35314 SELL	2 000	\$3 91	\$780 97	
05/27/21	PUT CVM	06/18/21 7 50 CEL SCI C	8GVWGZ4 PURCHASE	10 000	\$0 19	\$195 14	
06/18/21	CALL TRCH	07/16/21 5 TORCHLIGHT E	8K02377 PURCHASE	7 000	\$2 25	\$1,578 60	
06/18/21	CALL TRCH	07/16/21 5 TORCHLIGHT E	8K02377 PURCHASE	11 000	\$2 45	\$2 700 65	
06/18/21	CALL TRCH	07/16/21 5 TORCHLIGHT E	8K02377 PURCHASE	15 000	\$3 20	\$4 807 71	
01/28/21	CALL CLSN	04/16/21 2 50 CELSION C	8KBTRL1 SELL	50 000	\$0 45	\$2 224 15	
12/27/21	CALL FSR	05/20/22 20 FISHER INC C	8KCWKM4 PURCHASE	4 000	\$2 35	\$942 04	
01/05/21	CALL TRIB	02/19/21 2 50 TRINITY B	8KGCRS9 SELL	5 000	\$1 49	\$742 40	
12/03/21	PUT TLT	04/14/22 151 ISHS 20 PLUS	8KKGBS0 PURCHASE	1 000	\$5 28	\$528 51	
12/08/21	PUT TLT	04/14/22 151 ISHS 20 PLUS	8KKGBS0 SELL	1 000	\$7 30	\$729 48	

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient's TIN	***--**--1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2021

DETAILS OF 2021 OPTIONS ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
11/23/21 CALL VBIV	12/17/21 3 VBI VACCINES	8KXWFP6	PURCHASE	49 000	\$0 20	\$1 004 96	
12/01/21 CALL VBIV	12/17/21 3 VBI VACCINES	8KXWFP6	SELL	49 000	\$0 30	\$1 444 93	
06/25/21 CALL TRCH	07/16/21 5 TORCHLIGHT E	8LSVYD3	PURCHASE	8 000	\$1 40	\$1 124 11	
05/12/21 CALL TECK	01/21/22 35 TECK RESOURC	8NTCCL1	PURCHASE	15 000	\$1 20	\$1 807 71	
10/18/21 CALL TECK	01/21/22 35 TECK RESOURC	8NTCCL1	SELL	15 000	\$1 10	\$1 642 30	
07/01/21 CALL MMAT	07/16/21 8 META MATLS I	8NYNDR3	PURCHASE	26 000	\$0 95	\$2 483 36	
06/30/21 CALL MMAT	08/20/21 7 META MATLS I	8NYNDX7	PURCHASE	6 000	\$1 82	\$1 095 08	
06/30/21 CALL MMAT	08/20/21 7 META MATLS I	8NYNDX7	PURCHASE	6 000	\$1 90	\$1 143 08	
07/02/21 CALL MMAT	08/20/21 8 META MATLS I	8NYNDX9	PURCHASE	15 000	\$1 60	\$2,407 71	
01/06/21 CALL AUPH	02/19/21 15 AURINIA PHAR	8PHTPG6	PURCHASE	9 000	\$2 35	\$2 119 63	
01/25/21 CALL AUPH	02/19/21 15 AURINIA PHAR	8PHTPG6	SELL	9 000	\$4 88	\$4 387 25	
01/27/21 CALL CVM	02/19/21 20 CEL SCI CORP	8PHZSM6	SELL	1 000	\$9 00	\$899 47	
01/28/21 CALL CVM	02/19/21 20 CEL SCI CORP	8PHZSM6	SELL	2 000	\$4 50	\$898 95	
02/19/21 CALL CVM	02/19/21 20 CEL SCI CORP	8PHZSM6	SELL	2 000	\$0 75	\$148 96	
12/17/21 CALL SPY	12/31/21 470 STANDARD & P	8PLTGL2	PURCHASE	3 000	\$1 80	\$541 53	
12/22/21 CALL SPY	12/31/21 470 STANDARD & P	8PLTGL2	SELL	3 000	\$2 33	\$697 45	
02/19/21 CALL CVM	03/19/21 60 CEL SCI CORP	8PMDNM8	PURCHASE	3 000	\$0 55	\$166 54	
04/22/21 CALL CVM	07/16/21 60 CEL SCI CORP	8PMDNQ2	PURCHASE	9 000	\$3 20	\$2 884 62	
04/22/21 PUT CVM	05/21/21 10 CEL SCI CORP	8PRKYM9	PURCHASE	10 000	\$0 70	\$705 14	
04/22/21 CALL CVM	05/21/21 35 CEL SCI CORP	8PRKYP4	PURCHASE	1 000	\$2 05	\$205 51	
12/09/21 CALL PLTR	09/16/22 20 PALANTIR TEC	8PYLRN6	PURCHASE	2 000	\$3 74	\$749 02	
12/28/21 CALL FSR	12/31/21 16 50 FISKER IN	8SHYNW1	PURCHASE	10 000	\$0 22	\$225 09	
12/30/21 CALL FSR	12/31/21 16 50 FISKER IN	8SHYNW1	SELL	10 000	\$0 13	\$124 88	

END OF 2021 DETAILS

CONSOLIDATED 2022 FORMS 1099 + DETAILS

MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No	40626300
	Account Name	JOHN W KROHN
	Recipient's TIN	***-**-1494
	Account Executive No	ET1
	ORIGINAL	12/31/2022

CONSOLIDATED 2022 FORMS 1099 AND DETAILS

JOHN W KROHN
1003 NORTH KNUDSON CT
LIBERTY LAKE WA 99019-8537

YEAR-END MESSAGES

Certain events may result in an amended 1099 for current and/or prior tax years. Please visit etrade.com/tax for access to details and FAQs found in the "Understanding your Form 1099" guide.

TABLE OF CONTENTS**Consolidated 1099 Sections**

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Details

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Your Account did not receive the following Forms

1099-DIV
1099-INT
1099-OID
1099-MISC

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MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient s TIN	***-**-1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2022

FORM 1099-B TOTALS SUMMARY**REALIZED GAIN / LOSS SUMMARY**

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values The amounts shown below are for informational purposes only

SHORT-TERM GAINS OR (LOSSES) – REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$9,323.81	\$18,464.44	\$0.00	\$0.00	(\$9,140.63)
Box A – Ordinary – (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Term	\$9,323.81	\$18,464.44	\$0.00	\$0.00	(\$9,140.63)
LONG-TERM GAINS OR (LOSSES) – REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	\$12,267.25	\$8,838.29	\$0.00	\$0.00	\$3,428.96
Box D – Ordinary – (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Term	\$12,267.25	\$8,838.29	\$0.00	\$0.00	\$3,428.96
UNKNOWN TERM – CODE (X) REPORT ON FORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Unknown Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

REGULATED FUTURES CONTRACTS**AMOUNT**

Profit or (loss) realized in 2022 – closed contracts	\$68.34
Unrealized Profit or (loss) on open contracts 12/31/2021	(\$0.83)
Unrealized Profit or (loss) on open contracts 12/31/2022	\$0.00
Aggregate profit or (loss) on contracts	\$69.17

MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY NJ 07303-0484	Account No Account Name Recipient's TIN Account Executive No ORIGINAL	40626300 JOHN W KROHN ***--**--1494 ET1 12/31/2022
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RECIPIENT'S Name, Street Address, City, State, and Zip Code
JOHN W KROHN
1003 NORTH KNUDSON CT
LIBERTY LAKE WA 99019-8537

PAYER'S TIN 20-8764829
PAYER'S Name, Street, City, State, Zip Code
MORGAN STANLEY DOMESTIC HOLDINGS INC
E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY NJ 07303-0484
Telephone Number 800 387 2331

☐ **FATCA Filing Requirement**

2022 FORM 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses on Gross Proceeds Report on Form 8949, Part I with Box A checked

Box 6 Gross Proceeds **Box 5** Box Not Checked (Covered Security)

Box 12 Basis Reported to the IRS

Box 2 Type of Gain or Loss --Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
META MATLS INC COMMON STOCK CUSIP 59134N104	317 50000 326 00000 455 00000	06/11/2021 06/11/2021 06/17/2021	04/18/2022 04/18/2022 04/18/2022	\$452 40 \$464 51 \$648 31	\$1 930 40 \$1 981 43 \$4 621 34	\$0 00 \$0 00 \$0 00	\$0 00 \$0 00 \$0 00	(\$1 478 00) (\$1 516 92) (\$3 973 03)	
	3 500 00000 1 000 00000	06/28/2022 06/29/2022	11/04/2022 11/04/2022	\$5 634 41 \$1 609 83	\$3 745 00 \$1,048 30	\$0 00 \$0 00	\$0 00 \$0 00	\$1 889 41 \$561 53	
Subtotals	5,598 50000			\$8,809 46	\$13,326 47	\$0 00	\$0 00	(\$4,517 01)	
CALL CVM 05/20/22 5 CUSIP 8C02434	10 00000	04/18/2022	05/04/2022	\$144 87	\$655 10	\$0 00	\$0 00	(\$510 23)	
PUT DKS 07/15/22 75 CUSIP 8E19183	1 00000	05/25/2022	06/21/2022	\$369 48	\$590 51	\$0 00	\$0 00	(\$221 03)	
CALL FSR 05/20/22 20 CUSIP 8KCWKM4	4 00000	12/27/2021	05/20/2022	\$0 00	\$942 04	\$0 00	\$0 00	(\$942 04)	EXPIRATION

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.
This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient s TIN	***-**-1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2022

2022 FORM 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO. 1545-0715**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

Box 6 Gross Proceeds

Box 5 Box Not Checked (Covered Security)

Box 12 Basis Reported to the IRS

Box 2 Type of Gain or Loss -Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CALL MMAT 07/15/22 5 CUSIP 8KHPTJ4	20 00000	02/28/2022	07/15/2022	\$0 00	\$510 20	\$0 00	\$0 00	(\$510 20)	EXPIRATION
CALL RDBX 06/17/22 18 CUSIP 8PQQLM9	1 00000	06/13/2022	06/17/2022	\$0 00	\$270 51	\$0 00	\$0 00	(\$270 51)	EXPIRATION
CALL PLTR 09/16/22 20 CUSIP 8PYLRN6	2 00000	12/09/2021	09/16/2022	\$0 00	\$749 02	\$0 00	\$0 00	(\$749 02)	EXPIRATION
CALL SPY 11/25/22 408 CUSIP 8PYNZN9	6 00000	11/14/2022	11/25/2022	\$0 00	\$1,005 06	\$0 00	\$0 00	(\$1 005 06)	EXPIRATION
CALL SPY 05/13/22 435 CUSIP 8SQGLQ7	1 00000	05/04/2022	05/13/2022	\$0 00	\$136 51	\$0 00	\$0 00	(\$136 51)	EXPIRATION
	2 00000	05/04/2022	05/13/2022	\$0 00	\$279 02	\$0 00	\$0 00	(\$279 02)	EXPIRATION
Subtotals	3 00000			\$0 00	\$415 53	\$0 00	\$0 00	(\$415 53)	
14 ITEMS - TOTAL				\$9,323 81	\$18,464 44	\$0 00	\$0 00	(\$9,140 63)	

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MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient's TIN	***--**--1494
JERSEY CITY, NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2022

2022 FORM 1099-B, PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO: 1545-0715**Covered Long-Term Gains or Losses on Gross Proceeds**
Report on Form 8949, Part II with Box D checked

Box 6 Gross Proceeds

Box 5 Box Not Checked (Covered Security)

Box 12 Basis Reported to the IRS

Box 2 Type of Gain or Loss -Long-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
META MATLS INC	211 50000	01/28/2021	03/22/2022	\$402 88	\$676 80	\$0 00	\$0 00	(\$273 92)	
COMMON STOCK	447 50000	03/05/2021	03/22/2022	\$852 42	\$1 807 81	\$0 00	\$0 00	(\$955 39)	
CUSIP 59134N104	837 50000	03/05/2021	04/18/2022	\$1 193 32	\$3 383 33	\$0 00	\$0 00	(\$2 190 01)	
	545 00000	03/10/2021	04/18/2022	\$776 55	\$2 457 95	\$0 00	\$0 00	(\$1 681 40)	
Subtotals	2,041 50000			\$3,225 17	\$8,325 89	\$0 00	\$0 00	(\$5,100 72)	
META MATLS INC	680 00000	01/07/2021	05/24/2022	\$865 34	\$0 00	\$0 00	\$0 00	\$865 34	
PFD SER A	1 000 00000	01/07/2021	11/04/2022	\$7 544 74	\$0 00	\$0 00	\$0 00	\$7 544 74	
CUSIP 59134N203									
Subtotals	1,680 00000			\$8,410 08	\$0 00	\$0 00	\$0 00	\$8,410 08	
SKYE BIOSCIENCE INC	6 000 00000	12/14/2020	05/04/2022	\$288 26	\$192 15	\$0 00	\$0 00	\$96 11	
COMMON STOCK	10 000 00000	12/14/2020	06/21/2022	\$343 74	\$320 25	\$0 00	\$0 00	\$23 49	
CUSIP 83086J101									
Subtotals	16,000 00000			\$632 00	\$512 40	\$0 00	\$0 00	\$119 60	
8 ITEMS - TOTAL				\$12,267 25	\$8,838 29	\$0 00	\$0 00	\$3,428 96	

FOOTNOTES**SHORT SALE** - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) KEEP FOR YOUR RECORDS

This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient s TIN	***--1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2022

2022 FORM 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED OMB NO. 1545-0715**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts**

"Mark-to-Market" reporting for contracts defined in Internal Revenue Code (IRC) sections 1256(b) and (g) The treatment of any gain or loss is explained in IRS Publication 550 and IRC section 1256(a)(3)

Description of property CUSIP (Box 1a)	Profit or (Loss) Realized in 2022 on Closed Contracts (Box 8)	Unrealized Profit or (Loss) on Open Contracts 12/31/2021 (Box 9)	Unrealized Profit or (Loss) on Open Contracts 12/31/2022 (Box 10)	Aggregate Profit or (Loss) on Contracts (Box 11)	Additional Information
CALL VIX 03/15/22 19	\$68 34	(\$0 83)	-	\$69 17	
1 ITEMS - TOTAL	\$68 34	(\$0 83)		\$69 17	

END OF 2022 FORM 1099-B

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) KEEP FOR YOUR RECORDS
 This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-B (OMB NO 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN) For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949 Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040) and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, RFC, or other appropriate description may be shown. For Section 1256 option contracts, Section 1256 option, or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub 550 for details.

Box 1f Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub 550. If box 5 is checked, box 1f may be blank.

Box 1g Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub 550. If box 5 is checked, box 1g may be blank.

Box 2 The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the 'Ordinary' box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub 550, or Pub 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3 If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4 Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5 If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6 If the exercise of a noncompensatory option resulted in a sale of a security, a checked 'Net proceeds' box indicates whether the amount in box 1d was adjusted for option premium.

Box 7 If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Box 12 If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the 'Ordinary' box in box 2 is checked, an adjustment may be required.

Box 13 Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub 525.

Boxes 14-16 Show state(s)/local income tax information.

Future developments For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Form 1099-B (OMB NO 1545-0715)**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**

Box 8 Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.

Box 9 Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2021.

Box 10 Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2022 in 2023.

Box 11 Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.

Free File Program Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient s TIN	***-**-1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
	ORIGINAL	12/31/2022

DETAILS OF 2022 FEES & CHARGES

Date	Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Additional Information
03/28/22	FROM 03/22 THRU 03/25 @ 7.900%		MARGIN INTEREST CHRG	\$0.50		
04/26/22	FROM 03/26 THRU 04/25 @ 7.900%		MARGIN INTEREST CHRG	\$0.12		
05/26/22	FROM 04/26 THRU 05/04 @ 7.900%		MARGIN INTEREST CHRG	\$0.02		
05/26/22	FROM 05/05 THRU 05/25 @ 8.400%		MARGIN INTEREST CHRG	\$0.04		
	Subtotals			\$0.68		
	Total Margin Interest			\$0.68		

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

MORGAN STANLEY DOMESTIC HOLDINGS INC	Account No	40626300
E*TRADE SECURITIES LLC	Account Name	JOHN W KROHN
PO BOX 484	Recipient's TIN	***--**-1494
JERSEY CITY NJ 07303-0484	Account Executive No	ET1
ORIGINAL		12/31/2022

2022 INVESTMENT DETAILS**DETAILS OF 2022 INVESTMENT ACTIVITY**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
11/14/22	AMERICAN BATTERY TECHNOLOGY COMPANY COM	02451V101	PURCHASE	7 000 000	\$0 58	\$4 092 95	
11/18/22	INOTIV INC COMMON STOCK	45783Q100	PURCHASE	600 000	\$5 75	\$3 450 06	
03/22/22	META MATLS INC COMMON STOCK	59134N104	SELL	659 000	\$1 91	\$1 255 30	
04/18/22	META MATLS INC COMMON STOCK	59134N104	SELL	2 481 000	\$1 43	\$3 535 09	
06/28/22	META MATLS INC COMMON STOCK	59134N104	PURCHASE	3 500 000	\$1 07	\$3 745 00	
06/29/22	META MATLS INC COMMON STOCK	59134N104	PURCHASE	1 000 000	\$1 05	\$1 048 30	
11/04/22	META MATLS INC COMMON STOCK	59134N104	SELL	4 500 000	\$1 61	\$7 244 24	
05/24/22	META MATLS INC PFD SER A	59134N203	SELL	680 000	\$1 28	\$865 34	
06/28/22	META MATLS INC PFD SER A	59134N203	PURCHASE	1 000 000	\$1 60	\$1 604 95	
07/07/22	META MATLS INC PFD SER A	59134N203	PURCHASE	333 000	\$1 66	\$557 73	
11/04/22	META MATLS INC PFD SER A	59134N203	SELL	1 000 000	\$7 55	\$7 544 74	
11/07/22	MULLEN AUTOMOTIVE INC COMMON STOCK	62526P109	PURCHASE	15 000 000	\$0 26	\$3 930 00	
05/04/22	SKYE BIOSCIENCE INC COMMON STOCK	83086J101	SELL	6 000 000	\$0 05	\$288 26	
06/21/22	SKYE BIOSCIENCE INC COMMON STOCK	83086J101	SELL	10 000 000	\$0 04	\$343 74	
11/07/22	***HUADI INTERNATIONAL GROUP CO LTD ORD	G4645E105	PURCHASE	100 000	\$18 00	\$1 800 00	
11/09/22	***HUADI INTERNATIONAL GROUP CO LTD ORD	G4645E105	PURCHASE	73 000	\$8 20	\$598 60	
07/05/22	***LYTUS TECHNOLOGIES HOLDINGS PTV LTD	G5851A109	PURCHASE	160 000	\$5 90	\$944 00	

DETAILS OF 2022 OPTIONS ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
01/10/22	CALL VIX	03/15/22 19 CBOE VOLATIL	8BQZRS7 SELL	1 000	\$6 30	\$629 17	
04/18/22	CALL CVM	05/20/22 5 CEL SCI CORP	8C02434 PURCHASE	10 000	\$0 65	\$655 10	
05/04/22	CALL CVM	05/20/22 5 CEL SCI CORP	8C02434 SELL	10 000	\$0 15	\$144 87	
05/25/22	PUT DKS	07/15/22 75 DICKS SPORTI	8E19183 PURCHASE	1 000	\$5 90	\$590 51	
06/21/22	PUT DKS	07/15/22 75 DICKS SPORTI	8E19183 SELL	1 000	\$3 70	\$369 48	
02/28/22	CALL MMAT	07/15/22 5 META MATLS I	8KHPTJ4 PURCHASE	20 000	\$0 25	\$510 20	
06/13/22	CALL RDBX	06/17/22 18 REDBOX ENTER	8PQQLM9 PURCHASE	1 000	\$2 70	\$270 51	
11/14/22	CALL SPY	11/25/22 408 STANDARD & P	8PYNZN9 PURCHASE	6 000	\$1 67	\$1 005 06	
05/04/22	CALL SPY	05/13/22 435 STANDARD & P	8SQGLQ7 PURCHASE	1 000	\$1 36	\$136 51	
05/04/22	CALL SPY	05/13/22 435 STANDARD & P	8SQGLQ7 PURCHASE	2 000	\$1 39	\$279 02	

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

MORGAN STANLEY DOMESTIC HOLDINGS INC E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484	Account No	40626300
	Account Name	JOHN W KROHN
	Recipient s TIN	***-**-1494
	Account Executive No	ET1
	ORIGINAL	12/31/2022

END OF 2022 DETAILS

SELF DIRECTED RETIREMENT ACCOUNT

ROTH - IRA 488-238666 - 206

~~Proof~~ Proof of 1800 MUST SHARES OWNED
AND NOT SOLD.



from Morgan Stanley

CLIENT STATEMENT | For the Period November 1 30 2024

STATEMENT FOR
JOHN W KROHN

Beginning Total Value (as of 11/1/24)

\$1,600 42

Ending Total Value (as of 11/30/24)

\$1,013 05

Includes Accrued Interest

Access Your Account Online At

www.etrade.com or call 800-387-2331

*Morgan Stanley Smith Barney LLC Member SIPC
E*TRADE is a business of Morgan Stanley*

#BWNJGWM

JOHN W KROHN
1003 NORTH KNUDSON CT
LIBERTY LAKE WA 99019

*INVESTMENTS AND INSURANCE PRODUCTS NOT FDIC INSURED • NOT A BANK DEPOSIT •
NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY • NOT BANK GUARANTEED •
MAY LOSE VALUE • UNLESS SPECIFICALLY NOTED ALL VALUES ARE DISPLAYED IN USD*



from Morgan Stanley

CLIENT STATEMENT | For the Period November 1 30 2024

Page 2 of 8

Standard Disclosures

The following Disclosures are applicable to the enclosed statement(s). Expanded Disclosures are attached to your most recent June and December statement (or your first Statement if you have not received a statement for those months). The Expanded Disclosures are also available online or by contacting us by using the contact information on the statement cover page.

Questions?

Questions regarding your account may be directed to us by using the contact information on the statement cover page.

Errors and Inquiries

Be sure to review your statement promptly and immediately address any concerns regarding entries that you do not understand or believe were made in error by contacting us by using the contact information on your statement cover page. Oral communications regarding any inaccuracy or discrepancy in this statement should be reconfirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). Your statement will be deemed correct unless we receive a written inquiry of a suspected error. See your account documentation for special rules regarding your rights and responsibilities with respect to erroneous electronic fund transfers, including a description of the transfers covered. For concerns or complaints, contact us.

Senior Investor Helpline

Senior Investor clients or those acting on their behalf have a convenient way to communicate with our Firm by calling us at (800) 280-4534 Monday-Friday 9am-7pm Eastern Time.

Availability of Free Credit Balances and Financial Statements

Under the customer protection rules of the SEC [17 CFR §240.15c3-3], we may use funds comprising free credit balances carried for customer accounts here, provided that these funds are payable to customers on demand (i.e., are free of a lien or right of set off in our favor or on behalf of some third party to whom you have given control). A financial statement of this organization is available for your personal inspection at its offices, or a copy will be mailed to you upon your written request.

Listed Options

Information with respect to commissions and other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you and such information will be made available to you promptly at your request. Promptly advise us of any material change in your investment objectives or financial situation.

Important Information if You are a Margin Customer (not available for certain retirement accounts).

If you have margin privileges, you may borrow money from us in

exchange for pledging assets in your accounts as collateral for any outstanding margin loan. The amount you may borrow is based on the value of the eligible securities in your margin accounts. If a security has eligible shares, the number of shares pledged as collateral will be indicated below the position.

Margin Interest Charges

We calculate interest charges on margin loans as follows: (1) multiply the applicable margin interest rate by the daily close of business net settled debit balance, and (2) divide by 360 (days). Margin interest accrues daily throughout the month and is added to your debit balance at month end. The month end interest charge is the sum of the daily accrued interest calculations for the month. We add the accrued interest to your debit balance and start a new calculation each time the applicable interest rate changes and at the close of every statement month. For interest rate information, log into your account online and select your account with a Margin agreement to view more information.

Information Regarding Special Memorandum Account

If you have a Margin Account, this is a combined statement of your Margin Account and Special Memorandum Account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the Special Memorandum Account as required by Regulation T is available for your inspection at your request.

Important Information About Auction Rate Securities

For certain Auction Rate Securities, there is no or limited liquidity. Therefore, the price(s) for these Auction Rate Securities are indicated by N/A (not available). There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security.

Structured Investments Risks and Considerations

Structured Investments (Structured Products) are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset. Structured Investments, which may appear in various statement product categories and are identified on the Position Description Details line as Asset Class Struct Inv, may not perform in a manner consistent with the statement product category where they appear and therefore may not satisfy portfolio asset allocation needs for that category. For information on the risks and conflicts of interest related to Structured Investments, generally, log in to Morgan Stanley Online and go to www.morganstanley.com/structuredproductsrisksandconflicts.

Security Measures

This statement features several embedded security elements to safeguard its authenticity. One is a unique blue security rectangle

printed in heat sensitive ink on the back of every page. When exposed to warmth, the color will disappear and then reappear.

SIPC Protection

We are a member of Securities Investor Protection Corporation (SIPC), which protects securities of its customers up to \$500,000 (including \$250,000 for claims for cash). An explanatory brochure is available upon request or at www.sipc.org. Losses due to market fluctuation are not protected by SIPC and assets not held with us may not be covered by SIPC protection. To obtain information about SIPC, including an explanatory SIPC brochure, contact SIPC at 1-202-371-8300 or visit www.sipc.org.

Transaction Dates and Conditions

Upon written request, we will furnish the date and time of a transaction and the name of the other party to a transaction. We and/or our affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits and the source and amount of any other remuneration received or to be received by us in connection with any transaction will be furnished upon written request.

Equity Research Ratings Definitions and Global Investment Manager Analysis Status

Some equity securities may have research ratings from Morgan Stanley & Co. LLC or Morningstar, Inc. Research ratings are the research providers' opinions and not representations or guarantees of performance. For more information about each research provider's rating system, see the Research Ratings on your most recent June or December statement (or your first statement if you have not received a statement for those months). Go online or refer to the research provider's research report. Research reports contain more complete information concerning the analyst's views and you should read the entire research report and not infer its contents from the rating alone. If your account contains an advisory component or is an advisory account, a GIMA status will apply.

Credit Ratings from Moody's Investors Service and Standard & Poor's

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the opinions of the provider and are not representations or guarantees of performance. Please contact us if you need further information or assistance in interpreting these credit ratings.

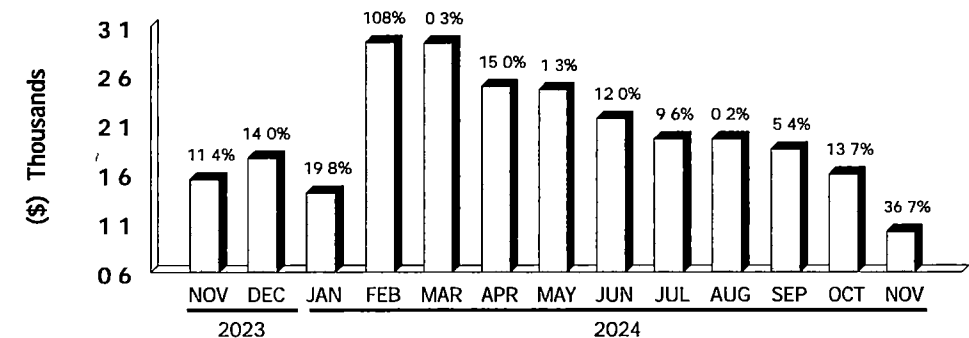
Revised 11/2022

CLIENT STATEMENT | For the Period November 1 30, 2024**Account Summary**Self-Directed Retirement Account
JOHN W. KROHN
488-238666-206
Roth IRA**CHANGE IN VALUE OF YOUR ACCOUNT** (Includes accrued interest)

	This Period (11/1/24 - 11/30/24)	This Year (1/1/24 - 11/30/24)
TOTAL BEGINNING VALUE	\$1,600 42	\$1,762 15
Credits	—	—
Debits	—	—
Security Transfers	—	—
Net Credits/Debits/Transfers	—	—
Change in Value	(587 37)	(749 10)
TOTAL ENDING VALUE	\$1,013 05	\$1,013 05

MARKET VALUE OVER TIME

The below chart displays the most recent thirteen months of Market Value

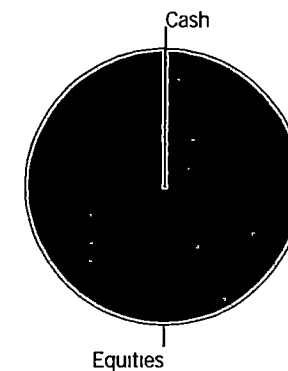


The percentages above represent the change in dollar value from the prior period. They do not represent account investment performance as they do not consider the impact of contributions and withdrawals nor other factors that may have affected performance calculations. No percentage will be displayed when the previous month reflected no value.

ASSET ALLOCATION (includes accrued interest)

	Market Value	Percentage
Cash	\$1 67	0 16
Equities	1,011 38	99 84
TOTAL VALUE	\$1,013 05	100 00%

FDIC rules apply and Bank Deposits are eligible for FDIC insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. Values may include assets externally held as a courtesy and may not be covered by SIPC. Foreign Exchange (FX) is neither FDIC nor SIPC insured. For additional information refer to the corresponding section of this statement.



This asset allocation represents holdings on a trade date basis and projected settled Cash/BDP and MMF balances. These classifications do not constitute a recommendation and may differ from the classification of instruments for regulatory or tax purposes.



from Morgan Stanley

CLIENT STATEMENT | For the Period November 1 30, 2024

Page 4 of 8

Account Summary

Self-Directed Retirement Account JOHN W. KROHN
488 238666 206
Roth IRA

BALANCE SHEET (^ Includes accrued interest)

	Last Period (as of 10/31/24)	This Period (as of 11/30/24)
Cash BDP MMFs	\$520 71	\$1 67
Stocks	1 079 71	1 011 38
Total Assets	\$1,600 42	\$1,013 05
TOTAL VALUE	\$1,600 42	\$1,013 05

INCOME AND DISTRIBUTION SUMMARY

	This Period (11/1/24 11/30/24)	This Year (1/1/24 11/30/24)
Interest	—	\$0 03
TOTAL INCOME AND DISTRIBUTIONS	—	\$0 03

CASH FLOW

	This Period (11/1/24 11/30/24)	This Year (1/1/24 11/30/24)
OPENING CASH, BDP, MMFs	\$520 71	\$12 46
Purchases	(519 04)	(2,251 94)
Sales and Redemptions	—	2,241 12
Income and Distributions	—	0 03
Total Investment Related Activity	\$(519 04)	\$(10 79)
Total Cash Related Activity	—	—
CLOSING CASH, BDP, MMFs	\$1 67	\$1 67

GAIN/(LOSS) SUMMARY

	Realized This Period (11/1/24 11/30/24)	Realized This Year (1/1/24 11/30/24)	Unrealized Inception to Date (as of 11/30/24)
TOTAL GAIN/(LOSS)	\$(500 52)	\$(273 51)	\$(11,924 83)

The Gain/(Loss) Summary which may be subsequently adjusted is provided for informational purposes and should not be used for tax preparation For additional detail please visit www.etrade.com



from Morgan Stanley

CLIENT STATEMENT | For the Period November 1-30, 2024

Page 5 of 8

Account SummarySelf-Directed Retirement Account JOHN W KROHN
488-238666-206
Roth IRA**RETIREMENT RECAP****2023 Fair Market Value (includes accrued interest) \$1,762 15**

	2023	2024 (year to date)	Historical
Contributions			
Individual	—	—	\$50 00

HISTORICAL CONTRIBUTIONS & DISTRIBUTIONS

	Contributions	Distributions
2019 and Prior	\$0 00	\$0 00
2020	0 00	0 00
2021	0 00	0 00
2022	50 00	0 00
2023	0 00	0 00
2024	0 00	0 00
LIFETIME	\$50 00	\$0 00

The contribution and distribution information on this page is based on information you provided and not intended for tax purposes. Contributions are recorded for the year in which they are received unless made on or before the applicable deadline and designated for the prior year at the time the contribution is made. Historical information may not include all transactions and/or may group transactions into general categories.

Refer to the Additional Retirement Account Information in the Expanded Disclosures.

The historical information reflected here does not include transactions occurring prior to 1/1/22.

BENEFICIARIES (Visit www.etrade.com to view and update your beneficiaries.)**Primary Beneficiary**

Lee A Krohn

The beneficiary information above is for informational purposes only. The official record is the last dated beneficiary designation received by us in acceptable form, either in writing or submitted electronically during your lifetime. If there is a discrepancy, the beneficiaries listed on your last dated beneficiary designation received in acceptable form will govern.



CLIENT STATEMENT | For the Period November 1-30 2024

from Morgan Stanley

Page 6 of 8

Account Detail

 Self-Directed Retirement Account JOHN W KROHN
 488-238666-206
 Roth IRA

Investment Objectives (in order of priority) Capital Appreciation

Brokerage Account

Inform us if your investment objectives as defined in the Expanded Disclosures change

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. Market Value and Unrealized Gain/(Loss) may not reflect the value that could be obtained in the market. Your actual investment return may differ from the unrealized gain/(loss) displayed. Fixed Income securities are sorted by maturity or pre refunding date and alphabetically within date. Estimated Annual Income a) is calculated on a pre tax basis b) does not include any reduction for applicable non US withholding taxes c) may include return of principal or capital gains which could overstate such estimates and d) for holdings that have a defined maturity date within the next 12 months is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current Yield is an estimate for informational purposes only. It reflects the income generated by an investment and is calculated by dividing the total estimated annual income by the current market value of the entire position. It does not reflect changes in its price. Structured Investments identified on the Position Description Details line as Asset Class Struct Inv may appear in various statement product categories. When displayed the accrued interest annual income and current yield for those with a contingent income feature (e.g. Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities the accrued interest annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

For additional information related to Unrealized and Realized Gain/(Loss) and tax lot details including cost basis please visit www.etrade.com. The information presented on the statement should not be used for tax purposes.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash Bank Deposit Program and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income Accrued Interest and APY% will only be displayed for fully settled positions. Under the Bank Deposit Program free credit balances held in an account(s) at Morgan Stanley Smith Barney LLC are automatically deposited into an interest bearing deposit account(s) at Morgan Stanley Bank N A and/or Morgan Stanley Private Bank National Association each a national bank FDIC member and an affiliate of Morgan Stanley. Under certain circumstances deposits may be held at other FDIC insured Program Banks. For more information regarding the Bank Deposit Program and the Program Banks go to www.etrade.com/bdpdisclosure. Cash and interest from required Pattern Day Trader minimum equity amounts are retained in Cash Balance Program.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY PRIVATE BANK NA	\$1 67	—	—	0 010

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	0 16%	\$1 67	—

STOCKS

COMMON STOCKS

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AMERICAN BATTERY TECH NEW (ABAT)	1 082 000	\$0 933	\$6 190 46	\$1,009 72	\$(5 180 75)	—	—
Asset Class Equities							
FM4 NXTBRG HYDROCARBONS CONTRA	3 450 000	N/A	0 00	N/A	N/A	—	—



from Morgan Stanley

CLIENT STATEMENT | For the Period November 1-30 2024

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Account Detail

Self-Directed Retirement Account JOHN WIKROHN

1488-238666-206

Roth IRA

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Asset Class Equities</i>							
META MATERIALS INC NEW (MMATQ)	18 000	0 061	6 727 22	1 10	(6 726 12)	—	—
<i>Asset Class Equities</i>							
COMMON STOCKS			\$12,917 68	\$1,010 82	\$(11,906 87)	—	—

OPTIONS (Contract Prices are presented to only the third decimal (which may display as \$0 000) while calculation of Market Value uses an extended price)

Security Description	Number of Contracts	Contract Price	Total Cost	Market Value	Unrealized Gain/(Loss)
PUT TRUMP MEDIA & TECH CL A AT 3 000 EXPIRES 12/20/2024 (DJT 241220P00003000)	1 000	\$0 006	\$18 52	\$0 56	\$(17 96)
<i>Asset Class Equities</i>					

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	99 84%	\$12,936 20	\$1,011 38	\$(11,924 83)	—	—

For listed options that have a cost basis without a corresponding market value an unrealized gain or loss is included for informational purposes Where market value information is not available for purposes of calculating the unrealized gain or loss we assume that market value is \$0 In such cases the unrealized gain or loss may not provide an accurate reflection of the true unrealized gain or loss For additional information regarding Gain/(Loss) and Pricing refer to the Expanded Disclosures

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL VALUE	100 00%	\$12,936 20	\$1,013 05	\$(11,924 83)	—	—

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available Cash MMF Deposits and positions stating Please Provide or Pending Corporate Actions are not included

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash BDP MMFs	\$1 67	—	—	—	—	—
Stocks	—	\$1 011 38	—	—	—	—
TOTAL ALLOCATION OF ASSETS	\$1 67	\$1,011 38	—	—	—	—



from Morgan Stanley

CLIENT STATEMENT | For the Period November 1-30 2024

Page 8 of 8

Account Detail

Self-Directed Retirement Account JOHN W KROHN
488 238666-206
Roth IRA

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
11/1	11/4	Bought	CALL DJT 11/15/24 45 000	ACTED AS AGENT UNSOLICITED TRADE OPENING	1 000	\$5 0000	\$(500 52)
11/5	11/6	Bought	PUT DJT 12/20/24 3 000	ACTED AS AGENT UNSOLICITED TRADE, OPENING	1 000	0 1800	(18 52)

NET CREDITS/(DEBITS)

\$(519 04)

Purchase and Sale transactions above may have received an average price execution Details regarding the actual prices are available upon request

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Activity Date	Activity Type	Description	Credits/(Debits)
11/4	Automatic Redemption	BANK DEPOSIT PROGRAM	\$(500 52)
11/6	Automatic Redemption	BANK DEPOSIT PROGRAM	(18 52)

NET ACTIVITY FOR PERIOD

\$(519 04)

TRANSFERS, CORPORATE ACTIONS AND ADDITIONAL ACTIVITY

OPTIONS EXPIRATIONS, EXERCISES AND ASSIGNMENTS

Activity Date	Activity Type	Description	Comments	Contracts
11/18	Option Expired	CALL DJT 11/15/24 45 000	EXPIRED OPTIONS	1 000

MESSAGES

Senior Investor Helpline

For any inquiries or potential concerns senior investors or someone acting on their behalf may contact our Firm by calling (800) 280 4534